Practitioner's Docket No. BAC-116-A PATENT
Applicant Ian James Day et al. Patentee Application No. PCT/GB00/00950 Patent No. Filed on 20 March 2000 Issued on Title:
VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c))—SMALL BUSINESS CONCERN
I hereby declare that I am
the owner of the small business concern identified below:
an official of the small business concern empowered to act on behalf of the concern identified below:
Name of Small Business Concern MEKTRON SYSTEMS LIMITED
Address of Small Business Concern <u>UNIT 8. BEDFORD BUSINESS CENTRE</u> , MILE ROAD, BEDFORD, MK42 9TW, UNITED KINGDOM
I hereby declare that the above identified small business concern qualifies as a small business concern, as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35. United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time for temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control
I hereby declare that rights under contract or law have been conveyed to, and remain with, the small business concern identified above, with regard to the invention described in
☐ the specification filed herewith, with title as listed above.
the application identified above.
the patent identified above.
the rights held by the above identified small business concern are not exclusive, each adividual, concern or organization having rights in the invention is listed below and no ghts to the invention are held by any person, other than the inventor, who would not qualify an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by ny concern which would not qualify as a small business concern under 37 CFR 1.9(d) or

any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averting to their status as small entities. (37 CFR 1.27)

(Small Entity-Small Business [7-4]-page 1 of 2)

belov		uch person	i, conce	m or organizat	ion naving	any ngnts	s in the inven	ition is listed
	X	No such	person,	concern, or on	anization	exists.		
			=	n, concern or c	•		below.	
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		_	_					
				Owner				
SIGN	ATUI	RE			(Date	,	

(Small Entity-Small Business [7-4]—page 2 of 2)

SPECIFICATION IDENTIFICATION

the specification of which:

(complete (a), (b), or (c))
(a) is attached hereto.
NOTE: "The following combinations of information supplied in an oath or declaration filed on the application filing date with a specification are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the identification requirement of 37 CFR 1.63:
"(1) name of inventor(s), and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration on filing;
"(2) name of inventor(s), and attorney docket number which was on the specification as filed or
"(3) name of inventor(s), and title which was on the specification as filed."
Notice of July 13, 1995 (1177 O.G. 60).
(b) was filed on, as Serial No. 0 / or
and was amended on (if applicable).
NOTE: Amendments filed after the original papers are deposited with the PTO that contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.
NOTE: "The following combinations of information supplied in an oath or declaration filed after the filing date are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the identification requirement of 37 CFR 1.63:
 (1) name of inventor(s), and application number (consisting of the series code and the serial number; e.g.,08/123,456);
"(2) name of inventor(s), serial number and filing date;
"(3) name of inventor(s) and attorney docket number which was on the specification as filed;
"(4) name of inventor(s), title which was on the specification as filed and filing date;
"(5) name of inventor(s), title which was on the specification as filed and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration; or
"(6) name of inventor(s), title which was on the specification as filed and accompanied by a cover letter accurately identifying the application for which it was intended by either the application number (consisting of the series code and the serial number; e.g.,08/123,456), or serial number and filing date. Absent any statement(s) to the contrary, it will be presumed that the application filed in the PTO is the application which the inventor(s) executed by signing the oath or declaration."
Notice of July 13, 1995 (1177 O.G. 60).
(c) Was described and claimed in PCT International Application No. PCT/GB00/00950, filed on 20 March 2000 and as
amended under PCT Article 19 on (if any).

(Declaration and Power of Attorney [1-1]—page 2 of 7)

SUPPLEMENTAL DECLARATION (37 C.F.R. § 1.67(b))

(complete the following where a supplemental declaration is being submitted)
☐ I hereby declare that the subject matter of the
☐ attached amendment
amendment filed on
was part of my/our invention and was invented before the filing date of the original application, above-identified, for such invention.
ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR
I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.
I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56,
(also check the following items, if desired)
and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and
in compliance with this duty, there is attached an information disclosure statement, in accordance with 37 CFR 1.98.
PRIORITY CLAIM (35 U.S.C. §§ 119(a)-(d))
NOTE: "The claim to priority need be in no special form and may be made by the attorney or agent if the foreign application is referred to in the oath or declaration as required by § 1.63. The claim for priority and the certified copy of the foreign application specified in 35 U.S.C. 119(b) must be filed in the case of an interference (§ 1.630), when necessary to overcome the date of a reference relied upon by the examiner, when specifically required by the examiner, and in all other situations, before the patent is granted. If the claim for priority or the certified copy of the foreign application is filed after the date the issue fee is paid, it must be accompanied by a petition requesting entry and by the fee set forth in § 1.17(i). If the certified copy is not in the English language, a translation need not be filed except in the case of interference; or when necessary to overcome the date of a reference relied upon by the examiner; or when specifically required by the examiner, in which event an English language translation must be filed together with a statement that the translation of the certified copy is accurate." 37 C.F.R. § 1.55(a).
I hereby claim foreign priority benefits under Title 35, United States Code, §§ 119(a)–(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.
(complete (d) or (e))
(d) no such applications have been filed.
(e) Such applications have been filed as follows.
NOTE: Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.
(Declaration and Power of Attorney [1-1]—page 3 of 7)

PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d)

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
UNITED KINGDOM	9906082.4	18 March 1999	₽ YES NO □
			☐ YES NO ☐
			☐ YES NO ☐
	·		☐ YES NO ☐
	•		☐ YES NO ☐

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (34 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION NUMBER	FILING DATE
/	
/	
/	
	

CLAIM FOR BENEFIT OF EARLIER US/PCT APPLICATION(S) UNDER 35 U.S.C. 120

The claim for the benefit of any such applications are set forth in the attached ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CONTINUATION-IN PART (C-I-P) APPLICATION.

(Declaration and Power of Attorney [1-1]—page 4 of 7)

ALL F	OREIGN APPLICATION(S), <i>IF</i> (6 MONTHS FOR DESIGN) PF	ANY, FILED MORE THAN 12 MONTHS RIOR TO THIS U.S. APPLICATION
NOTE:	the basis for this application entering the Udivisional, or continuation-in-part, then also	throm the filing date of this application is a PCT filing forming United States as (1) the national stage, or (2) a continuation, to complete ADDED PAGES TO COMBINED DECLARATION ONAL, CONTINUATION OR C-I-P APPLICATION for benefit der 35 U.S.C. § 120.
	POWER O	F ATTORNEY
I herei all busin	by appoint the following practition ess in the Patent and Trademark	er(s) to prosecute this application and transact Office connected therewith.
	(list name and l	registration number)
	LD S. WEINTRAUB MIGUEL ACOSTA	25523 32187
	(check the followi	ing item, if applicable)
	I hereby appoint the practitioner vided below to prosecute this Patent and Trademark Office or	r(s) associated with the Customer Number pro- application and to transact all business in the onnected therewith.
	Attached, as part of this declarated of the above-named practitione representative(s).	ation and power of attorney, is the authorization er(s) to accept and follow instructions from my
SEND CO ARNO	RRESPONDENCE TO LD S. WEINTRAUB	DIRECT TELEPHONE CALLS TO: (Name and telephone number)
\bar{\bar{\bar{\bar{\bar{\bar{\bar{	Address PLUNKETT & COONEY, P.C., 38505 WOODWARD AVENUE, SUITE 3,000, BLOOMFIELD HILLS, MICHIGAN 48304	ARNOLD S. WEINTRAUB, 248-901-4000
	Customer Number	

(Declaration and Power of Attorney [1-1]—page 5 of 7)

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

	IAN (GIVEN NAME)	<u>JAMES</u>	DAY
	•	(MIDDLE INITIAL OR NAME)	FAMILY OR LAST NAME
	Inventor's signature	Ja James Box	
	LICOTTON	UNITED KINGDOM	UNITED KINGDOM
		<u> </u>	<u> </u>
		BALLAND WAY, WOOTTON, NO	ORTHAMPTON NN4 6AU
	U	NITED KINGDOM	
//\ ·			
	Full name of second join	t inventor, if any	,
	JAMES	PATRICK	EVANS
	(GIVEN NAME)	(MIDDICE INITIAL OR NAME)	FAMILY (OR LAST NAME)
,	Inventor's signature		
	Date 13 September 2	2001 Country of Citizenship	UNITED KINGDOM
	. h (BUZZARD, UNITED KINGDOM	L DUZZADD
		B LINWOOD GROVE, LEIGHTON	
	B	EDFORDSHIRE, LU7 8RP, UN	ITED KINGDOM
	Full name of third joint in	ventor, if any	
			•
	(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAMILY (OR LAST NAME)
	Inventor's signature		
	Date	Country of Citizenship	
		· · · · · · · · · · · · · · · · · · ·	

(check proper box(es) for any of the following added page(s) that form a part of this declaration)

	Signature for fourth and subsequent joint inventors. Number of pages added
	• • •
	Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added
	• • •
	Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47. Number of pages added
	• • •
	Added page for signature by one joint inventor on behalf of deceased inventor(s) where legal representative cannot be appointed in time. (37 CFR 1.47)
	• • •
	Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (C-I-P) application.
	☐ Number of pages added
	• • •
	Authorization of practitioner(s) to accept and follow instructions from representative.
	• • •
ti	(if no further pages form a part of this Declaration, hen end this Declaration with this page and check the following item)
	This declaration ends with this page.

(Declaration and Power of Attorney [1-1]—page 7 of 7)

Practitioner's Docket No.	BAC-116- A	

PATENT

COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION, OR C-I-P)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type:

(check one applicable item below)
original.
design.
usupplemental.
NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application, do not check next item; check appropriate one of last three items.
national stage of PCT.
NOTE: If one of the following 3 items apply, then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR C-I-P.
NOTE: See 37 C.F.R. § 1.63(d) (continued prosecution application) for use of a prior nonprovisional application declaration in the continuation or divisional application being filed on behalf of the same or fewer of the inventors named in the prior application.
divisional.
☐ continuation.
NOTE: Where an application discloses and claims subject matter not disclosed in the prior application, or a continuation or divisional application names an inventor not named in the prior application, a continuation-in-part application must be filed under 37 C.F.R. § 1.53(b) (application filing requirements — nonprovisional application).
continuation-in-part (C-I-P).
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INVENTORSHIP IDENTIFICATION

WARNING: If the inventors are each not the inventors of all the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed inventon was made, should be submitted.

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

TITLE OF INVENTION .

(Declaration and Power of Attorney [1-1]-page 1 of 7)

SPECIFICATION IDENTIFICATION

the specification of which:

(complete (a), (b), or (c))

	(Complete las, lb), or (c))
(a) [is attached hereto.
NOTE:	"The following combinations of information supplied in an eath or declaration filed on the application filing date with a specification are acceptable as minimums for identifying a specification and compliant with any one of the items below will be accepted as complying with the identification requirement of 37 CFR 1.63:
	"(1) name of inventor(s), and reference to an attached specification which is both attache to the oath or declaration at the time of execution and submitted with the oath or declaratio on filing;
	*(2) name of inventor(s), and attorney docket number which was on the specification as filed or
	"(3) name of inventor(s), and title which was on the specification as filed."
	Notice of July 13, 1995 (1177 O.G. 60).
(p) [or []
	and was amended on (if applicable).
VOTE:	Amendments filed after the original papers are deposited with the PTO that contain new matter an not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involves are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.
NOTE:	"The following combinations of information supplied in an eath or declaration filed after the filing date are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the Identification requirement of 37 CFR 1.63:
	"(1) name of inventor(s), and application number (consisting of the series code and the seria number; e.g., 08/123, 456);
	"(2) name of inventor(s), serial number and filling date;
·	(3) name of inventor(s) and attorney docket number which was on the specification as filed.
	"(4) name of inventor(s), title which was on the specification as filed and filing date;
	"(5) name of inventor(s), title which was on the specification as filed and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration; or
	"(6) name of inventor(s), title which was on the specification as filed and accompanied by a cover letter accurately identifying the application for which it was intended by either the application number (consisting of the series code and the serial number, e.g.,08/123,456), or serial number and filing date. Absent any statement(s) to the contrary, it will be presumed that the application filed in the PTO is the application which the inventor(s) executed by signing the oath or declaration."
	Natice of July 13, 1995 (1177 O.G. 60).
c) (3	was described and claimed in PCT International Application No.
	amended under PCT Article 19 on (if any).

(Declaration and Power of Attorney [1-1]-oage 2 of 7)

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SUPPLEMENTAL DECLARATION (37 C.F.R. § 1.67(b))

TOVAS AT THE TK: 42 LWV AT405 BLAGS

PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d)

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
UNITED KINGDOM	9906082.4	18 March 1999	₽ YES NO □
			TYES NO [
			TES NO [
			☐ YES NO ☐
	•		TES NO [

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (34 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION NUMBER

 /	
 /	
 /	
CLAIM FOR BENEFIT OF EARLIER US/PCT APPL UNDER 35 U.S.C. 120	ICATION(S)

☐ The claim for the benefit of any such applications are set forth in the attached ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CONTINUATION-IN PART (C-I-P) APPLICATION.

(Declaration and Power of Attorney [1-1]-page 4 of 7)

FILING DATE

•	ine basis for this application entering divisional, or continuation-in-part, thei	onths from the filing date of this application is a PCT filing the United States as (1) the national stage, or (2) a contest above PAGES TO COMBINED DECLY VISIONAL, CONTINUATION OR C-I-P APPLICATION (1) under 35 U.S.C. § 120.
	POWE	R OF ATTORNEY
I hereb	by appoint the following practions in the Patent and Tradem.	tioner(s) to prosecute this application and tark Office connected therewith.
	(list name a	nd registration number)
	D S. WEINTRAUB MIGUEL ACOSTA	<u>25523</u> <u>32187</u>
	(check the foli	owing item, if applicable)
	I hereby appoint the practition vided below to prosecute the Patent and Trademark Office	oner(s) associated with the Customer Numbers application and to transact all business connected therewith.
	Attached, as part of this decorporation of the above-named practiti representative(s).	laration and power of attomey, is the autho oner(s) to accept and follow instructions f
SEND COL	RRESPONDENCE TO D S. WEINTRAUB	DIRECT TELEPHONE CALL (Name and telephone num
₽	Address PLUNKETT & COONEY, P.0 38505 WOODWARD AVENUE SUITE 3,000, BLOOMFIELD HILLS, MICHIGAN 48304	C., ARNOLD S. WEINTRAU

(Declaration and Power of Attorney [1-1]-page 5 of 7)





I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SICNATURE(S)

Full name of sole or firs	t inventor	
IAN	JAMES	DAY
(GNEN NAME)	(MIDOLE INITIAL OR NAME)	FAMILY FOR LAST NAME
Inventor's signature	<u> </u>	
Date	Country of Citizenship	UNITED KINGDOM
Residence WOOTTON	, UNITED KINGDOM	
Post Office Address <u>3</u>	BALLAND WAY, WOOTTON, NO	ORTHAMPTON NN4 6AU
	NITED KINGDOM	
		•
Full mame of second tele		•
Full name of second join	-	
JAMES (CIVEN NAME)	PATRICK (MIDDLE INITIAL OR NAME)	EVANS
	-	FAMILY (OR LAST NAME)
	Country of Citizenship	UNITED KINGDOM
Residence LEIGHTON	BUZZARD, UNITED KINGDOM	
	B LINWOOD GROVE, LEIGHTON	
В	EDFORDSHIRE, LU7 8RP, UNI	ITED KINGDOM
ull name of third joint in	vantes if	
an itemite of maid fourt th	ventor, if any	
(GIVEN NAME)	PHIDDLE INITIAL OR NAME	FAMILY FOR LAST NAME
ventor's signature		· · · · · · · · · · · · · · · · · · ·
	Country of Citizenship	
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	Signature for fourth and subsequent joint inventors. Number of pages added
	• • •
	Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added
	• • •
	Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47. Number of pages added
	• • •
	Added page for signature by one joint inventor on behalf of deceased inventor(s) where legal representative cannot be appointed in time. (37 CFR 1.47)
	• • •
	Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (C-I-P) application.
	□ Number of pages added
	• • •
	Authorization of practitioner(s) to accept and follow instructions from representative.
	• • •
tf	(if no further pages form a part of this Declaration, nen end this Declaration with this page and check the following item)
	ID This declaration ends with this page.

(Declaration and Power of Attorney [1-1]—page 7 of 7)